

## Electronic Acknowledgement Receipt

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| <b>Application Number:</b>                  | 10599662  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 2433  |
| <b>Title of Invention:</b>                  | Method and Apparatus for the Surgical Treatment of Congestive Heart Failure |
| <b>First Named Inventor/Applicant Name:</b> | J. Kern Buckner   |
| <b>Customer Number:</b>                     | 25871   |
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### Payment information:

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| Submitted with Payment | no |
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### File Listing:

| Document Number | Document Description                         | File Name | File Size(Bytes) | Multi Part /.zip | Pages (if appl.) |
|-----------------|--|-----------|------------------|------------------|------------------|
| 1               | Information Disclosure Statement (IDS) Filed | IDS.pdf   | 131749           | no               | 2                |

### Warnings:

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|---|---------------|---|---------|----|----|
| <b>Information:</b>                             |               |   |         |    |    |
| This is not an USPTO supplied IDS fillable form |               |   |         |    |    |
| 2   | NPL Documents | Batista_1996_J_Cardiac_Surg_v11_p96.pdf           | 979076  | no | 2  |
| <b>Warnings:</b>                                |               |   |         |    |    |
| <b>Information:</b>                             |               |   |         |    |    |
| 3   | NPL Documents | Braunwald_1992_Heart_Disease_p370.pdf             | 3441504 | no | 13 |
| <b>Warnings:</b>                                |               |   |         |    |    |
| <b>Information:</b>                             |               |   |         |    |    |
| 4   | NPL Documents | Gregoric_2002_Congestive_v8_p214.pdf              | 600859  | no | 6  |
| <b>Warnings:</b>                                |               |   |         |    |    |
| <b>Information:</b>                             |               |   |         |    |    |
| 5   | NPL Documents | Nemeh_2003_Cleveland_Clinic_J_of_Med_v70_p223.pdf | 845790  | no | 9  |
| <b>Warnings:</b>                                |               |   |         |    |    |
| <b>Information:</b>                             |               |   |         |    |    |
| 6   | NPL Documents | Starling_1998_Cleveland_J_of_Med_v65_p351.pdf     | 616580  | no | 7  |
| <b>Warnings:</b>                                |               |   |         |    |    |
| <b>Information:</b>                             |               |   |         |    |    |
| 7   | NPL Documents | Westaby_1996_Heart_v76_p200.pdf                   | 1738647 | no | 7  |
| <b>Warnings:</b>                                |               |   |         |    |    |
| <b>Information:</b>                             |               |   |         |    |    |
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

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